

# A Theory of Locally Convex Hopf Algebras

## Part I. Basic Theory and Examples

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# Motivation I: dissatisfaction

The **operator algebraic approach** (Woronowicz, Van Daele, Baaj-Skandalis, Kustermans-Vaes...) is already quite successful.

## Question

Why another approach to topological quantum groups?

## Personal dissatisfaction with the operator algebraic approach

- ① **Technical complication:** modular theory, multiplier algebras, unbounded operators, manageable multiplicative unitaries...
- ② **Limitation to the locally compact case:** description of some nice topological (quantum) groups that are *not locally compact*
- ③ **Seemingly unnatural axioms:** further and further away from Hopf algebras
- ④ **Inaccessibility to nice “functions”:** e.g. smooth functions
- ⑤ **The hopeless Haar measure/weight problem**

## Motivation II: toolbox choice

The theory of operator algebras (OA) is very active, while the theory of locally convex spaces (LCS) seems almost dead by comparison.

### Question

Two powerful and mature toolbox: OA vs LCS, why the latter?

### Answer

- LCS has by far the most **systematic duality theory** in functional analysis.
- LCS is **more flexible**: e.g. smooth functions, distributions...
- Categorically speaking, LCS enjoys much **nicer formal properties**, e.g. better universal properties for tensor products.
- As for describing topological spaces, LCS can **go beyond the locally compact setting** (next talk).

# The main idea and subtleties

- A **locally convex Hopf algebra** should be a *complete* LCS  $H$  equipped with the usual structure maps  $(m, \Delta, \eta, \varepsilon, S)$ , but with the algebraic tensor products replaced by some *suitable completed topological tensor products*.
- If  $H' \otimes H' = (H \otimes H)'$  etc., then transposing the above structure maps yields  $H'$  as a locally convex Hopf algebra dual to  $H$ .

## Main subtleties

- Many possible choices for the topologies on  $H'$ , as well as for the type of tensor products in  $H \otimes H$  and  $H' \otimes H'$  etc.
- The dualities of the type  $H' \otimes H' = (H \otimes H)'$  often fails.
- A good theory **should contain at least a large supply of interesting examples** of classical and quantum topological groups. So we **can not impose too strict restriction**.

# Peeking ahead

We will now describe how to realize the above main idea and overcome the aforementioned difficulties. In particular, we shall cover (non-locally compact aspects will be in the next talk):

- how to formulate a good notion of locally convex Hopf algebras, as well as the corresponding duality;
- how a new type of duality (resp. reflexivity) in addition to the strong one, termed **polar reflexivity** (resp. **polar reflexivity**) come into play and give examples for this new duality phenomenon;
- how to describe arbitrary Lie groups in this framework using only smooth functions;
- how to resolve the duality problem for real and complex Hopf algebras in this framework;
- how to include compact/discrete quantum groups into this theory and give their characterization.

# Locally convex direct sums and products

## Locally convex direct sums

Given a family  $(E_i)_{i \in I}$  of LCS, set  $E := \oplus_{i \in I} E_i$ .

- There is a unique finest locally convex topology  $\tau$  on  $E$  making each  $E_i \hookrightarrow E$  continuous, called the **locally convex direct sum topology**.
- If each  $E_i$  is complete, then so is  $(E, \tau)$ .
- A neighborhood basis at 0 in  $E$  consists of sets of the form  $\Gamma(\cup_i V_i)$  (absolutely convex hull), where  $V_i \in \mathcal{N}_{E_i}(0)$ .
- Some subtlety: in  $\oplus_{n \geq 1} \mathbb{R}$ , the sequence  $(1/n)e_n$  does *not* converge to 0 even  $1/n \rightarrow 0$  (take  $V = \Gamma(\cup_n V_n)$ ), where  $V_n = ]-1/n, 1/n[$  in the  $n$ -th copy of  $\mathbb{R}$ .

**Locally convex direct products** is more familiar and much easier—just take the product topology.

# Some examples of direct sums and products

Below the scalar field is  $\mathbb{K} = \mathbb{R}$  or  $\mathbb{C}$ .

- (Hopf algebras and their strong duals) Given any vector space  $V$ , choose a basis (axiom of choice),  $V = \oplus_{i \in I} \mathbb{K}$ . The corresponding locally convex direct sum topology on  $V$  is **the finest locally convex topology** on  $V$ . Such  $V$  is (strongly) reflexive, with the strong dual being canonically isomorphic to  $\prod_{i \in I} \mathbb{K}$  (The only weakly complete spaces up to isomorphism).
- (Lie groups and their strong duals) Given an arbitrary (real) Lie group  $G$ , let  $G_0$  be its neutral component. Equipped with the **topology of compact convergence on all derivatives**, we have

$$C^\infty(G) = \prod_{X \in G/G_0} C^\infty(X) \simeq C(G_0)^{[G:G_0]}.$$

Such  $C^\infty(G)$  is again strongly reflexive, with strong dual being isomorphic to the locally direct sum  $\oplus_{i \in [G:G_0]} C(G_0)'_b$ .

# Locally convex topologies determined by dualities

- A **duality pairing**  $\langle E, F \rangle$  is a non-degenerate bilinear map  $E \times F \rightarrow \mathbb{K}$ .
- If  $E$  is a LCS, the topology on  $E$  is **compatible with the pairing** if  $F \rightarrow E', y \in F \mapsto \langle \cdot, y \rangle$  is well-defined bijection.
- There exists a coarsest locally convex topology on  $E$  that is compatible with the pairing  $\langle E, F \rangle$ , called the **weak topology** and is denoted by  $\sigma(E, F)$ .
- There exists a finest locally convex topology on  $E$  that is compatible with the pairing  $\langle E, F \rangle$ , called the **Mackey topology** and is denoted by  $\tau(E, F)$ .
- A LCS  $E$  is called a **weak** (resp. **Mackey**) **space** if its topology is the weak (resp. Mackey) topology  $\sigma(E, E')$  (resp.  $\tau(E, E')$ ) for the canonical pairing  $\langle E, E' \rangle$ .
- Weak and Mackey topologies behave well with the dualities between locally convex direct sums and products.



# Topology of $\mathfrak{S}$ -convergence

- $\mathfrak{S}$  a class of subsets of a fixed LCS  $E$ .
- $S_F$  the collection of continuous seminorms on a LCS  $F$ .
- $\mathcal{L}(E, F)$  the space of continuous linear operators.
- For  $A \in \mathfrak{S}$ ,  $q \in S_F$ ,  $\varphi \in \mathcal{L}(E, F)$  define

$$p_{A,q}(\varphi) = \sup\{q(\varphi(x)) \mid x \in A\},$$

then  $p_{A,q}$  is a seminorm on  $\mathcal{L}(E, F)$ .

- The **topology of  $\mathfrak{S}$ -convergence**, or the  **$\mathfrak{S}$ -topology** on  $\mathcal{L}(E, F)$  is the linear topology generated by all seminorms of the form  $p_{A,q}$ ,  $A \in \mathfrak{S}$ ,  $q \in S_F$ . We thus get a LCS  $\mathcal{L}_{\mathfrak{S}}(E, F)$ .
- E.g.  $\mathcal{L}_b(E, F)$ -**bounded convergence**;  $\mathcal{L}_c(E, F)$ -(pre)compact **convergence**,  $\mathcal{L}_s(E, F)$ -**simple convergence**.
- When  $F = \mathbb{K}$ , the above becomes  $E'_b$ ,  $E'_c$  and  $E'_s$ .

# Bornological spaces and completeness on the dual

- $E$  a LCS,  $B \subseteq E$  is called **bornivorous** if it absorbs all bounded sets, i.e. for all bounded  $A \subseteq E$ , we have  $A \subseteq tB$  for all large  $t \in \mathbb{K}$ .
- $E$  is called **bornological** if every absolutely convex bornivorous set of  $E$  is a neighborhood of 0.
- E.g. all metrizable LCS, inductive limit (next talk, in particular direct sums) and countable direct products (unknown without countability) of bornological spaces.

Here's an important result on completeness.

## Proposition (Bourbaki)

*If  $E$  is bornological,  $F$  complete,  $\mathfrak{S}$  contains the images of all null sequences in  $E$ , then  $\mathcal{L}_{\mathfrak{S}}(E, F)$  is complete.*

# More “nice” spaces

Let  $E$  be a LCS, we say  $E$  is

- a **Fréchet space** or an  $F$ -space, if it is complete and metrizable,  $(\mathcal{F})$  denotes the class of all  $F$ -spaces, and  $(\mathcal{F}'_c)$  the class of their polar duals;
- **barrelled**, if each barrel (non-empty closed absolutely convex set) is neighborhood of 0;
- **Montel**, or an  $M$ -space, if it is barrelled and each bounded set is relatively compact,  $(\mathcal{M})$  denotes the class of all Montel spaces.

Examples:

- Fréchet:  $C(G)$  ( $G$  locally compact) and  $C^\infty(G)$  ( $G$  a Lie group) for second countable  $G$ .
- Montel:  $C^\infty(G)$  for arbitrary Lie group  $G$ , any  $V$  with the finest locally convex topology.
- Barrelled: Baire spaces (in particular,  $F$ -spaces), inductive limits (next talk, in particular direct sums) of barrelled spaces.

# Polar and Strong Reflexivity

## Definition

A duality pairing  $\langle E, F \rangle$  is called **polar (resp. strongly) reflexive**, if  $E, F$  can be identified as the polar (resp. strong) dual of each other via this pairing. We say a locally convex space  $E$  is polar (resp. strongly) reflexive, if the canonical pairing  $\langle E, E' \rangle$  is so.

- (Brauner 1973) All  $F$ -spaces are polar reflexive.
- The polar and strong duals of an  $F$ -space are always complete.
- All  $M$ -spaces are strongly reflexive.
- Let  $G$  be a discrete group. Unless  $G$  is finite,  $\ell^1(G)$  is never strongly reflexive; by contrast, it is always polar reflexive.
- We will later make  $\ell^1(G)$  into a locally convex Hopf algebra that is polar reflexive but *not* strongly reflexive.
- Polar dual is also termed **stereotype dual** (Akbarov).

# Compatible topologies on the tensor product

Let  $E$  be a LCS. Recall that  $A \subseteq E'$  is called **equicontinuous** if  $A \subseteq V^\circ$ , i.e.  $|\langle a, v \rangle| \leq 1$  for all  $a \in A$ ,  $v \in V$ , for some  $V \in \mathcal{N}_E(0)$ .

## Definition (Grothendieck)

Let  $E, F$  be lcs, then a locally convex topology  $\tau$  on  $E \otimes_{\text{alg}} F$  (algebraic tensor product) is called **compatible**, if

- 1 the canonical bilinear map  $E \times F \rightarrow E \otimes_\tau F$  is **separately continuous**;
- 2  $u' \otimes v' \in (E \otimes_{\text{alg}} F)'$  for all  $u' \in E'$ ,  $v' \in F'$ ;
- 3  $A \otimes B := \{f \otimes g \mid f \in E', g \in F'\}$  is **equicontinuous** on  $E \otimes_\tau F$  for all equicontinuous  $A \subseteq E'$  and  $B \subseteq F'$ .

## Notation

The completion of  $E \otimes_\tau F$  will be denoted by  $E \overline{\otimes}_\tau F$ .

# Topological tensor products

Let  $E, F \in \text{LCS}$  and  $\chi : E \times F \rightarrow E \otimes_{\text{alg}} F$  canonical.

- The injective (resp. inductive) tensor product topology is the **coarsest** (resp. **finest**) **compatible topology** on  $E \otimes_{\text{alg}} F$ , the resulting LCS is denoted by  $E \otimes_{\varepsilon} F$  (resp.  $E \otimes_i F$ ), called the **injective** (resp. **inductive**) **tensor product**.
- $\chi : E \times F \rightarrow E \otimes_i F$  is the universal **separately continuous** bilinear map from  $E \times F$ .
- There is also a unique compatible topology  $\mathfrak{T}_{\pi}$  such that  $\chi : E \times F \rightarrow E \otimes_{\pi} F := (E \otimes_{\text{alg}} F, \mathfrak{T}_{\pi})$  is the universal **jointly continuous** bilinear map from  $E \times F$ . We call  $E \otimes_{\pi} F$  the **projective tensor product**.
- $\otimes_{\pi}$  and  $\otimes_{\varepsilon}$  are used much more often than  $\otimes_i$ , partly because  $E \otimes_i F = E \otimes_{\pi} F$  in many cases (but not always).

# Nuclear spaces and the approximation property

- We have a comparison map  $E \otimes_{\pi} F \rightarrow E \otimes_{\varepsilon} F$ , for the injective tensor product  $E \otimes_{\varepsilon} F$  is the coarsest compatible topology.
- We call  $E$  **nuclear** if this comparison map is an isomorphism for any  $F$ .
- We say  $E$  has **the approximation property**, or (AP), if finite rank operators are dense in  $\mathcal{L}_c(E)$ .
- Notation:  $(\mathcal{N})$ -the class of nuclear spaces,  $(\mathcal{AP})$ -the class of spaces having (AP).
- $(\mathcal{AP})$  is stable under taking  $\overline{\otimes}_{\pi}$  (equivalently  $\overline{\otimes}_{\varepsilon}$ ), arbitrary direct products and sums.
- $(\mathcal{N})$  is stable under taking  $\overline{\otimes}_{\varepsilon}$ , arbitrary direct products and *countable* direct sums.

# More on nuclear spaces and (AP)

- $(\mathcal{N}) \subseteq (\mathcal{AP})$ , i.e. nuclear implies (AP).
- Complete nuclear spaces are Montel.
- For any second countable smooth manifold  $M$ , we have  $C^\infty(M) \in (\mathcal{N})$  (in particular for finite dimensional spaces).
- For any Lie group  $G$ , we have  $C^\infty(G) \in (\mathcal{N})$ .
- $\oplus_{i \in I} \mathbb{K} \in (\mathcal{N})$  if and only if  $I$  is at most countable.
- For  $E \in (\mathcal{F})$ , we have  $E \in (\mathcal{N})$  if and only if  $E'_b \in (\mathcal{N})$ .
- For any locally compact  $X$ ,  $C(X) \in (\mathcal{AP})$  (this will be generalized in the next talk).
- For any Radon measure  $\mu$  on  $X$ ,  $L^p(X, \mu) \in (\mathcal{AP})$ ,  $1 \leq p \leq \infty$ .
- For  $E \in (\mathcal{F})$ , we have  $E \in (\mathcal{AP})$  if and only if  $E'_c \in (\mathcal{AP})$ .
- After Grothendieck work, it is an open problem for quite some time to determine whether every LCS is in  $(\mathcal{AP})$ , which is finally answered in the negative by Enflo in 1973.



# The Buchwalter duality

## Theorem (Buchwalter, 1972)

Let  $E, F$  be  $F$ -spaces, with one of them having (AP), then  $(\overline{\otimes}_\pi$  and  $\overline{\otimes}_\varepsilon$  are **polar duals** of each other)

- $(E\overline{\otimes}_\pi F)'_c = E'_c\overline{\otimes}_\varepsilon F'_c$  and  $(E'_c\overline{\otimes}_\varepsilon F'_c)'_c = E\overline{\otimes}_\pi F$ ;
- $(E\overline{\otimes}_\varepsilon F)'_c = E'_c\overline{\otimes}_\pi F'_c$  and  $(E'_c\overline{\otimes}_\pi F'_c)'_c = E\overline{\otimes}_\varepsilon F$ .

- $(\mathcal{F})$  is stable under  $\overline{\otimes}_\pi$  and  $\overline{\otimes}_\varepsilon$ .
- $E'_b = E'_c$  if the  $F$ -space  $E$  is also Montel (so precompactness = boundedness). We set  $(\mathcal{F}\mathcal{M}) = (\mathcal{F}) \cap (\mathcal{M})$ .
- $(\mathcal{F}\mathcal{M})$  is stable under  $\overline{\otimes}_\varepsilon$ .

**Open question:** stability of  $(\mathcal{F}\mathcal{M})$  under  $\overline{\otimes}_\pi$ ?

- $(\mathcal{F}) \cap (\mathcal{AP})$  is stable under  $\overline{\otimes}_\varepsilon$ ; and  $E \in \mathcal{F}$  has (AP) if and only if  $E'_c$  does.
- One can drop (AP) by using  $\varepsilon$ -**product** of Schwartz.

# Locally Convex Hopf Algebras

## Definition

A complete locally convex space  $H$  is called a **projective Hopf algebra**, or a  $\pi$ -Hopf algebra, if it is equipped with a multiplication  $m$ , a comultiplication  $\Delta$ , a unit  $\eta$ , a counit  $\varepsilon$  and an antipode  $S$ , satisfying all axioms of a Hopf algebra with the algebraic tensor product replaced by  $\overline{\otimes}_\pi$ . An **injective**, or  $\varepsilon$ -**Hopf algebra**, as well as an **inductive**, or  $\iota$ -**Hopf algebra**, are defined similarly.

The axioms for the structure maps are (note the symmetry)

$$\begin{aligned} m(m \otimes \text{Id}) &= (\text{Id} \otimes m)m, & m(\eta \otimes \text{Id}) &= \text{Id} = m(\text{Id} \otimes \eta), \\ (\Delta \otimes \text{Id})\Delta &= (\text{Id} \otimes \Delta)\Delta, & (\text{Id} \otimes \varepsilon)\Delta &= \text{Id} = (\varepsilon \otimes \text{Id})\Delta, \\ \varepsilon m &= \varepsilon \otimes \varepsilon, & \Delta \eta &= \eta \otimes \eta \\ (m \otimes m)(\text{Id} \otimes \sigma \otimes \text{Id})(\Delta \otimes \Delta) &= \Delta m \quad (\sigma \text{ being the flip}), \\ m(S \otimes \text{Id})\Delta &= \eta \circ \varepsilon = m(\text{Id} \otimes S)\Delta. \end{aligned} \tag{1}$$

# Reflexive Locally Convex Hopf Algebras

## Definition

Let  $\tau, \sigma \in \{\varepsilon, \pi, \iota\}$ . We say a  $\tau$ -Hopf algebra  $H$  is  $(\tau, \sigma)$ -**polar reflexive**, if we have canonical pairings  $\langle H^{\overline{\otimes}_{\tau} k}, H_c'^{\overline{\otimes}_{\sigma} k} \rangle$  for  $k = 1, 2, 3, 4$ , and they are all polar reflexive.

**Reflexivity** is defined similarly by replacing the polar duals with strong duals.

- The (strong) dual  $H' = H'_b$  of a  $(\tau, \sigma)$ -polar reflexive Hopf algebra  $H$  has a canonical  $\sigma$ -Hopf algebra structure by taking transposes of the structure maps, called the **dual of  $H$** . Similarly, for the polar duals.
- Four fold tensor products are needed since the axiom that comultiplication is multiplicative involves four fold tensor products.

# Theorem on Polar Reflexivity

The Buchwalter duality immediately yields the following.

## Theorem (W, 24)

- If  $H$  is an  $\varepsilon$ -Hopf algebra (resp.  $\pi$ -Hopf algebra) of class  $(\mathcal{F}) \cap (\mathcal{AP})$ , then  $H$  is  $(\varepsilon, \pi)$ -polar reflexive (resp.  $(\pi, \varepsilon)$ -reflexive), and the polar dual  $H'_c$  is of class  $(\mathcal{F}'_c)$ .
- If  $H$  is an  $\varepsilon$ -Hopf algebra (resp.  $\pi$ -Hopf algebra) of class  $(\mathcal{F}'_c) \cap (\mathcal{AP})$ , then  $H$  is  $(\varepsilon, \pi)$ -polar reflexive (resp.  $(\pi, \varepsilon)$ -reflexive), and the polar dual  $H'_c$  is of class  $(\mathcal{F})$ .

E.g. if  $G$  is a  $\sigma$ -compact locally compact group, then  $C(G) \overline{\otimes}_\varepsilon C(G) = C(G \times G)$ , and  $C(G) \in (\mathcal{F}) \cap (\mathcal{AP})$  has a canonical  $\varepsilon$ -Hopf algebra structure induced by the group operations on  $G$  (more general result in next talk).

## Another Polar Reflexive Example

Let  $\Gamma$  be an arbitrary discrete group. Then  $\ell^1(\Gamma \times \Gamma) = \ell^1(\Gamma) \overline{\otimes}_\pi \ell^1(\Gamma)$ . The group Hopf algebra  $\mathbb{C}[\Gamma]$  extends in a unique way to a  $\pi$ -**Hopf algebra structure** on  $H = \ell^1(\Gamma)$ .

- $H$  is  $(\pi, \varepsilon)$ -polar reflexive since  $\ell^1(\Gamma)$  is a Banach space.
- All  $L^p$ -spaces ( $p \in [0, +\infty]$ ) with respect to a Radon measure on a locally compact space has  $(AP)$ , in particular,  $\ell^1(\Gamma)$ .
- The polar dual  $H'_c$  has  $\ell^\infty(\Gamma)$  as the underlying space, but the topology is the one of compact convergence with respect to the duality with  $\ell^1(\Gamma)$ , which is no longer normable. It is interesting to note that  $H'_c$  has an  $\varepsilon$ -Hopf algebra structure!
- When  $\Gamma$  is infinite,  $H$  **can not be**  $(\pi, \tau)$ -**reflexive** for any compatible  $\tau$ , in particular for  $\tau \in \{\pi, \varepsilon, \iota\}$ .
- One can still recover  $\Gamma$  as the group of group-like elements of  $H$ . So we obtain a duality result for arbitrary discrete groups that is not accessible using only (strong) reflexivity.

# Projective vs inductive tensor product

- We have  $E\overline{\otimes}_\pi F = E\overline{\otimes}_l F$  if  $E, F \in (\mathcal{F})$  or  $E, F$  are both strong duals of  $F$ -spaces (separately continuous bilinear maps from  $E \times F$  are continuous).
- If  $(E_i)_{i \in I}, (F_j)_{j \in J}$  are families of spaces in  $(\mathcal{FM}) \cap (\mathcal{AP})$ , then

$$\begin{aligned}
 & \left( \left( \prod_i E_i \right) \overline{\otimes}_\varepsilon \left( \prod_j F_j \right) \right)'_b = \left( \prod_{i,j} E_i \overline{\otimes}_\varepsilon F_j \right)'_b \\
 &= \bigoplus_{i,j} (E_i \overline{\otimes}_\varepsilon F_j)'_b = \bigoplus_{i,j} (E_i)'_b \overline{\otimes}_\pi (F_j)'_b \\
 &= \bigoplus_{i,j} (E_i)'_b \overline{\otimes}_l (F_j)'_b = \left( \bigoplus_i (E_i)'_b \right) \overline{\otimes}_l \left( \bigoplus_j (F_j)'_b \right).
 \end{aligned}$$

- $\overline{\otimes}_\varepsilon$  (resp.  $\overline{\otimes}_l$ ) commutes with direct products (resp. sums), and the involved spaces are Mackey.

# Theorem on Strong Reflexivity

## Theorem (W,24)

- *Let  $H$  be an  $\varepsilon$ -Hopf algebra. If as a locally convex space,  $H$  is isomorphic to a product of spaces in  $(\mathcal{FM}) \cap (\mathcal{AP})$ , then as an  $\varepsilon$ -Hopf algebra, it is  $(\varepsilon, \iota)$ -reflexive. In particular, this applies when the locally convex space  $H$  is isomorphic to a product of spaces in  $(\mathcal{FN})$ .*
- *Dually, if  $H$  is an  $\iota$ -Hopf algebra with  $H$  decomposes as the direct sum of strong duals of spaces in  $(\mathcal{FM}) \cap (\mathcal{AP})$ , then  $H$  is  $(\iota, \varepsilon)$ -reflexive.*
- The proof follows from the computation in the previous slide.
- The special case where  $H$  is a nuclear  $F$ -space (or the strong dual of such spaces) is already studied by Bonneau, Flato, Gernstenhaber & Pinczon (BFGP, 1994) under the term “well-behaved topological Hopf algebra”.

Let  $G$  be a (real) Lie group, second countable or not.

- The connected component  $G_0$  of the neutral element is second countable.
- Equipped with the topology of compact convergence of all derivatives,  $C^\infty(G)$  is a complete nuclear space, isomorphic to a  $[G : G_0]$  copy of the nuclear  $F$ -space (hence  $FM$ )  $C^\infty(G_0)$ .
- $\mathcal{H} = C^\infty(G)$  has a  $\varepsilon$  (or  $\pi$  by nuclearity)-**Hopf algebra** structure induced by group operations.
- $\mathcal{H}$  is  $(\varepsilon, \iota)$ -reflexive.
- One may recover  $G$  from  $\mathcal{H}$  as a topological group (next talk). Since the compatible smooth structure on  $G$  is unique, we can essentially recover  $G$  as a Lie group from  $\mathcal{H}$ .



# Duality of Hopf algebras–I. The problem

## The duality problem for Hopf algebras

Let  $H$  be a Hopf algebra over  $k$ , and  $H'$  the linear dual. When  $\dim_k H = \infty$ , one **can not get a Hopf algebra structure on the dual  $H'$**  by transposing the corresponding structure maps for  $H$ .

## The restricted dual (Sweedler?) as a partial workaround

Set  $H^\circ := \{\omega \in H' \mid m^\Gamma(\omega) = \omega m \in H' \otimes H' \subseteq (H \otimes H)'\}$ , then  $H^\circ$  becomes a well-defined Hopf algebra by transposing the corresponding structure maps of  $H$ .

But the duality  $\langle H, H^\circ \rangle$  **can be degenerate**. Let

$\Gamma = \langle a_k \mid a_{k+1}a_k = a_k a_{k+1}^2, k \in \mathbb{Z}/4\mathbb{Z} \rangle$  (**the Higman group**). Then  $k[\Gamma]^\circ = k$ , so all information about  $\Gamma$  is completely lost!

# Duality of Hopf Algebras–II. Preparations

## Some facts

- One can form arbitrary direct sums in the category of locally convex spaces, which preserves completeness.
- Writing any vector space  $V$  as a direct sum of one-dimensional spaces shows that there is a unique finest locally convex topology on  $V$ .
- Complete locally convex spaces whose topology coincides with the weak topology is isomorphic to a product of one dimensional spaces.
- Spaces of the above two types are reflexive, and are the strong duals of each other.
- Uncountable direct sums of one dimensional spaces is always **non-nuclear** (but is still Montel).

# Duality of Hopf Algebras–III. Results and Comments

## Theorem (W, 24)

*Any classical Hopf algebra  $H$  can be seen as an  $\iota$ -Hopf algebra equipped with the finest locally convex topology on  $H$ . It is then  $(\iota, \varepsilon)$ -reflexive, with its strong dual being of class  $(N)$ .*

- This solves completely the duality problem of Hopf algebras when the scalar field is  $\mathbb{C}$  or  $\mathbb{R}$ .
- $H$  is nuclear if and only if it is of countable dimension, in which case the result is already in (BFGP 1994).
- (W, 24) Classical Hopf algebras are precisely  $\iota$ -Hopf algebras with the finest locally convex topology.
- (W, 24) The strong duals of classical Hopf algebras are precisely those  $\varepsilon$ -Hopf algebras (or  $\pi$ -Hopf algebras by nuclearity) that are complete weak spaces, or equivalently, isomorphic to a direct product of 1-dimensional spaces.

# Compact and Discrete Quantum Groups

- When  $\mathbb{K} = \mathbb{C}$ , one can introduce the  $*$ -structure.
- Instead of taking transpose, the duality involving involution is given by  $\langle x, \omega^* \rangle = \overline{\langle (Sx)^*, \omega \rangle}$ , where  $x \in H$ ,  $\omega \in H'$ .
- (Woronowicz) A compact quantum group  $\mathbb{G}$  (Woronowicz) is completely characterized by  $\text{Pol}(\mathbb{G})$ , which is a Hopf- $*$  algebra.
- Van Daele's version of  $\widehat{\mathbb{G}}$  can now be described **without using multipliers**:  $M(c_c(\widehat{\mathbb{G}}))$  is simply the strong dual  $\text{Pol}(\widehat{\mathbb{G}})'$  and  $M(c_c(\widehat{\mathbb{G}}) \otimes c_c(\widehat{\mathbb{G}}))$  simply  $\text{Pol}(\widehat{\mathbb{G}})' \overline{\otimes}_\pi \text{Pol}(\widehat{\mathbb{G}})'$  and is nuclear.
- (W, 24) One can now characterize discrete quantum groups as locally convex  $*$ -Hopf algebras that coincides with its weak topology, and is isomorphic as a product of matrix algebras as  $*$ -algebras.
- (W, 24) One can also characterize CQGs as locally convex  $*$ -Hopf algebras with the finest locally convex topology and a positive invariant integral.

# Thank you

This is the end of talk I.

Next time we will focus on the non-locally aspects of the theory.

# Thank you!